

APPENDIX 4

REQUEST FOR BOARD ACTION BY A BOARD MEMBER

Requester: Daniel J. Santos October 3, 2016

Brief description of Requested Action: Request Board approval of the following:

1. Task the Office of the General Manager to plan, coordinate, and support conducting a one day public meeting during the week of November 28, 2016 to:
 - a. Allow the Board to deliberate on how it executes the following statutory functions^[1] to accomplish the agency's mission:
 - i. Review and evaluation of standards
 - ii. Investigations
 - iii. Analysis of design and operational data
 - iv. Review of facility design and construction
 - v. Recommendations
 - b. Allow the Board to deliberate on the strategic goals and objectives^[2] and prioritization approach for the technical work of the agency.
2. Task the Office of the General Counsel to develop an agenda for Board approval consistent with item 1 above. This task is to be completed within one week of approval of this request for Board action.

Justification: The objective of this meeting is to have the Board validate or modify current practices, strategic goals, objectives, and prioritization approach of technical work. During the meeting the Board could deliberate on what, if any, policy statements should be considered for development and publication.

^[1] See 42 U.S.C.S. § 2286 et. seq. "ENABLING STATUTE OF THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD"

^[2] Defense Nuclear Facilities Safety Board Strategic Plan FY 2014-2018, www.DNFSB.gov

Attachments (init) 1 (included clean version of any proposed document or modified document)

Summarize any time sensitive considerations:

Requestor signature Signature on file October 3, 2016

Executive secretary Signature on file October 4, 2016

Final Disposition Summary

| | APRVD | DISAPRVD | ABSTAIN | NOT PARTICPATING | COMMENT | DATE |
|--------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|-------|
| Joyce L. Connery | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| Jessie H. Roberson | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| Sean Sullivan | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| Daniel J. Santos | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | _____ |
| Bruce Hamilton | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | _____ |

Executive Secretary signature _____ Click here to enter a date.

AFFIRMATION OF BOARD VOTING RECORD

SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Task OGM to Plan a One-Day Public Meeting Week of Nov 28, 2016

Doc Control#2017-300-001

The Board, with Board Member(s) Jessie H. Roberson, Daniel J. Santos, *approving*, Board Member(s) Joyce L. Connery, Sean Sullivan *disapproving*, Board Member(s) Bruce Hamilton *abstaining*, and Board Member(s) none *recusing*, have voted to disapprove the above document on October 17, 2016.

The votes were recorded as:

| | APRVD | DISAPRVD | ABSTAIN | NOT PARTICIPATING* | COMMENT | DATE |
|--------------------|-------------------------------------|-------------------------------------|-------------------------------------|--------------------------|-------------------------------------|----------|
| Joyce L. Connery | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | 10/17/16 |
| Jessie H. Roberson | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/13/16 |
| Sean Sullivan | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/17/16 |
| Daniel J. Santos | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/12/16 |
| Bruce Hamilton | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | 10/13/16 |

*Reason for Not Participating:

This Record contains a summary of voting on this matter together with the individual vote sheets, views and comments of the Board Members.



Executive Secretary to the Board

Attachments:

1. Voting Summary
2. Board Member Vote Sheets

cc: Board Members
OGC
OGM Records Officer
OTD

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Joyce L. Connery

SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Task OGM to Plan a One-Day Public Meeting Week of Nov 28, 2016

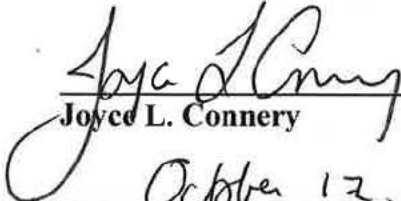
Doc Control#2017-300-001

Approved _____ Disapproved X _____ Abstain _____

Recusal – Not Participating _____

COMMENTS: Below X Attached _____ None _____

Given that the Board will likely have either three expired Board Members, or two expired Board Members and a new Board Member on November 28, 2016, the proposed date of the public meeting, I do not believe that holding a public meeting to discuss strategic goals and objectives and “execution of statutory function” is appropriate to propose at this time.



Joyce L. Connery

October 17, 2016
Date

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

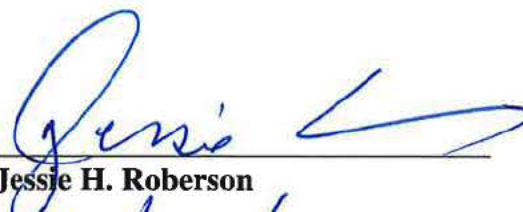
FROM: Jessie H. Roberson

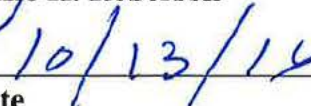
SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Task OGM to Plan a One-Day Public Meeting Week of Nov 28, 2016

Doc Control#2017-300-001

Approved **Disapproved** _____ **Abstain** _____
Recusal - Not Participating _____

COMMENTS: **Below** _____ **Attached** _____ **None**



Jessie H. Roberson


Date

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Sean Sullivan

SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Task OGM to Plan a One-Day Public Meeting Week of Nov 28, 2016

Doc Control#2017-300-001

Approved _____ Disapproved X Abstain _____

Recusal – Not Participating _____

COMMENTS: Below _____ Attached _____ None X



Sean Sullivan

10/17/16

Date

Shelby Qualls

From: Daniel J. Santos
Sent: Wednesday, October 12, 2016 4:37 PM
To: Shelby Qualls; Lotus Smith
Subject: RE: Notational Vote: 2017-300-001, Request for Board Action by Board Member Daniel J. Santos to Task OGM to Plan a One-Day Public Meeting Week of Nov 28, 2016 - BLUE FOLDER

Approved.

From: Shelby Qualls
Sent: Wednesday, October 12, 2016 3:32 PM
To: Mark Welch [REDACTED]; James Biggins [REDACTED]; Neysa Slater-Chandler [REDACTED]; Steven Stokes [REDACTED]; Katherine Herrera [REDACTED]; Bruce Hamilton [REDACTED]; Daniel J. Santos [REDACTED]; Jessie Roberson [REDACTED]; Joyce Connery [REDACTED]; Sean Sullivan [REDACTED]
Cc: 'ExSec'
Subject: Notational Vote: 2017-300-001, Request for Board Action by Board Member Daniel J. Santos to Task OGM to Plan a One-Day Public Meeting Week of Nov 28, 2016 - BLUE FOLDER

This email is an electronic record of Notational Vote. Voting ballot will follow shortly. Also, accepting electronic votes.

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET**

FROM: Members of the Board
SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Task OGM to Plan a One-Day Public Meeting Week of Nov 28, 2016

DOC# 2016-300-001

Office Directors have provided their input.

Approved _____
Disapproved _____
Abstain _____
Recusal – Not Participating _____

COMMENTS:
Below _____
Attached _____
None _____

Shelby Qualls
Assistant Executive Secretary
Office of the Chairman

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Bruce Hamilton

SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Task OGM to Plan a One-Day Public Meeting Week of Nov 28, 2016

Doc Control#2017-300-001

Approved _____ Disapproved _____ Abstain X

Recusal – Not Participating _____

COMMENTS: Below X Attached _____ None _____

There is benefit to holding a meeting of this nature. Certainly the Board could improve its effectiveness by occasionally reviewing, refining and re-stating how it executes its statutory functions. It would also improve transparency, a critical component in ensuring the public trust.

That said, it is not obvious how such a meeting could be managed effectively. The wide range of topics and ideas which would necessarily be discussed would lend themselves more to a series of brainstorming sessions than to a formal public meeting. It is likely that the goal of this proposed meeting could be better accomplished through the iterative and informal deliberative process that already occurs inside the Agency.

Given the benefits and detriments of having such a meeting, and since there is no core principle involved, I defer this decision to the wisdom of my fellow Board Members.

I therefore abstain.


Bruce Hamilton

13 OCT 2016
Date