

ARCHIVE: Doc#2016-300-026A, Amendment by Board Member Sean Sullivan
to YELLOW FOLDER Doc#2016-300-026, Directive OIG and GAO Audits

Doc#2016-300-026 OIG and GAO Audits

YELLOW FOLDER AMENDMENT BY BOARD MEMBER SULLIVAN

Revise paragraph 7.H., “Responsibilities/General Counsel” as follows:

- v. ~~Attends all entrance and exit conferences, and r~~Reviews formal comments on draft audit reports.

Justification

The amendment deletes the requirement for the General Counsel to attend entrance and exit conferences. Deleting the requirement does not prevent the General Counsel from attending. The GC should attend (or not attend) subject to the executive direction of the Chairman and the GC’s own determination of the value added by being present.

The current language in the Directive amounts to an agency mandate requiring the GC to attend. Such a mandate needlessly restricts proper management of the agency. A conference for some recurring audits such as the OIG audit of purchase cards may not require the presence of the GC. Further, on some occasions the scheduling of a conference may be needlessly hindered when circumstances result in reduced OGC manning.

The Operating Procedure should specify normal conference attendees.

AFFIRMATION OF BOARD VOTING RECORD

SUBJECT: Amendment by Board Member Sean Sullivan to YELLOW FOLDER Doc#2106-300-026, Directive OIG and GAO Audits

Doc Control#2016-300-026A

The Board, with Board Member(s) Joyce L. Connery, Jessie H. Roberson, Sean Sullivan, Daniel J. Santos, Bruce Hamilton *approving*, Board Member(s) none *disapproving*, Board Member(s) none *abstaining*, and Board Member(s) none *recusing*, have voted to approve the above document on September 30, 2016.

The votes were recorded as:

	APRVD	DISAPRVD	ABSTAIN	NOT PARTICIPATING*	COMMENT	DATE
Joyce L. Connery	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	09/30/16
Jessie H. Roberson	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	09/30/16
Sean Sullivan	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	09/28/16
Daniel J. Santos	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	09/28/16
Bruce Hamilton	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	09/28/16

*Reason for Not Participating:

This Record contains a summary of voting on this matter together with the individual vote sheets, views and comments of the Board Members.



Executive Secretary to the Board

Attachments:

1. Voting Summary
2. Board Member Vote Sheets

cc: Board Members
OGC
OGM Records Officer
OTD

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Joyce L. Connery

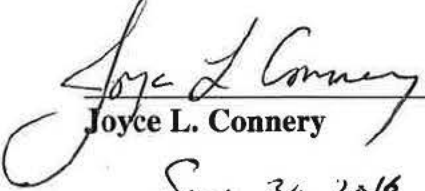
SUBJECT: Amendment by Board Member Sean Sullivan to YELLOW FOLDER
Doc#2106-300-026, Directive OIG and GAO Audits

Doc Control#2016-300-026A

Approved **Disapproved** **Abstain**
Recusal - Not Participating

COMMENTS: **Below** **Attached** **None**

I believe it is a best practice to have
OGC attend entrance and exit conferences
but it does not need to be Board mandated.
The Office Director should be given autonomy
over use of staff time to address mission.



Joyce L. Connery

Sept 30, 2016

Date

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET


FROM: Jessie H. Roberson

SUBJECT: Amendment by Board Member Sean Sullivan to YELLOW FOLDER
Doc#2106-300-026, Directive OIG and GAO Audits

Doc Control#2016-300-026A

Approved Disapproved _____ Abstain _____
Recusal - Not Participating _____

COMMENTS: Below _____ Attached _____ None



Jessie H. Roberson
Sept 30, 2016

Date

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Sean Sullivan

SUBJECT: Amendment by Board Member Sean Sullivan to YELLOW FOLDER Doc#2106-300-026, Directive OIG and GAO Audits

Doc Control#2016-300-026A

Approved X Disapproved _____ Abstain _____
Recusal – Not Participating _____

COMMENTS: Below X Attached _____ None _____

The amendment deletes the requirement for the General Counsel to attend entrance and exit conferences. Deleting the requirement does not prevent the General Counsel from attending. The GC should attend (or not attend) subject to the executive direction of the Chairman and the CG's own determination of the value added by being present.

The current language in the proposed Directive amounts to an agency mandate requiring the GC to attend. Such a mandate needlessly restricts proper management of the agency. A conference for some recurring audits such as the OIG audit of purchase cards may not require the presence of the GC. Further, on some occasions the scheduling of a conference may be needlessly hindered when circumstances result in reduced OGC manning.

The Operating Procedure should specify normal conference attendees.



Sean Sullivan

9/28/16

Date

Shelby Qualls

From: Daniel J. Santos
Sent: Wednesday, September 28, 2016 3:51 PM
To: Lotus Smith; Shelby Qualls
Subject: RE: Notational Vote: Doc#2016-300-026A Amendment by Board Member Sean Sullivan to YELLOW FOLDER Doc#2106-300-026, Directive OIG and GAO Audits

Approved.

From: Lotus Smith
Sent: Wednesday, September 28, 2016 10:58 AM
To: Bruce Hamilton [REDACTED]; Daniel J. Santos [REDACTED]; Jessie Roberson [REDACTED]; Joyce Connery [REDACTED]; Sean Sullivan [REDACTED]
Cc: ExSec [REDACTED]
Subject: Notational Vote: Doc#2016-300-026A Amendment by Board Member Sean Sullivan to YELLOW FOLDER Doc#2106-300-026, Directive OIG and GAO Audits

This email is an electronic record of Notational Vote. Voting ballot will follow shortly. Also, accepting electronic votes.

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET**

FROM: Members of the Board
SUBJECT: Amendment by Board Member Sean Sullivan to YELLOW FOLDER Doc#2106-300-026, Directive OIG and GAO Audits

DOC# 2016-300-026A

Office Directors have provided their input.

Approved _____
Disapproved _____
Abstain _____
Recusal – Not Participating _____

COMMENTS:

Below _____
Attached _____
None _____

Lotus Smith
Executive Secretary
Office of the Chairman
Defense Nuclear Facilities Safety Board
625 Indiana Ave, NW, STE 700
Washington, DC 20004

Lotus Smith

From: Bruce Hamilton
Sent: Wednesday, September 28, 2016 12:39 PM
To: Lotus Smith; Shelby Qualls
Subject: RE: Notational Vote: Doc#2016-300-026A Amendment by Board Member Sean Sullivan to YELLOW FOLDER Doc#2106-300-026, Directive OIG and GAO Audits

I approve.
Comments: None.

Bruce Hamilton
Global cell & text: [REDACTED]

From: [Lotus Smith](#)
Sent: 9/28/2016 10:57
To: [Bruce Hamilton](#); [Daniel J. Santos](#); [Jessie Roberson](#); [Joyce Connery](#); [Sean Sullivan](#)
Cc: [ExSec](#)
Subject: Notational Vote: Doc#2016-300-026A Amendment by Board Member Sean Sullivan to YELLOW FOLDER Doc#2106-300-026, Directive OIG and GAO Audits

This email is an electronic record of Notational Vote. Voting ballot will follow shortly. Also, accepting electronic votes.

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET**

FROM: Members of the Board
SUBJECT: Amendment by Board Member Sean Sullivan to YELLOW FOLDER Doc#2106-300-026, Directive OIG and GAO Audits

DOC# 2016-300-026A

Office Directors have provided their input.

Approved _____
Disapproved _____
Abstain _____
Recusal – Not Participating _____

COMMENTS:
Below _____
Attached _____
None _____

Lotus Smith
Executive Secretary
Office of the Chairman