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NF SAFETY BOARD

**Department of Energy**  
**National Nuclear Security Administration**  
Washington, DC 20585

June 30, 2003

The Honorable John T. Conway  
Chairman  
Defense Nuclear Facilities Safety Board  
625 Indiana Avenue, N.W.  
Suite 700  
Washington, D.C. 20004

Dear Mr. Chairman:

The purpose of this letter is to report on the status of commitment 4.4.1 in the Implementation Plan for Defense Nuclear Facility Safety Board (DNFSB) Recommendation 2002-2, U.S. Department of Energy Plan to Address and Resolve Weapons Laboratory Support of Defense Nuclear Complex.

Commitment 4.4.1 was completed by the issuance of National Nuclear Security Administration (NNSA) Contracting Officer Representative (COR) letters to NNSA sites with designees who oversee work of the Laboratory. The letters outline COR responsibilities and authorities in relation to business conducted at the Laboratory. Authorities include, but are not exclusive to, providing program direction, initiating timely work authorizations, and performing oversight activities for Directed Stockpile Work (DSW) maintenance, and research and development (R&D); weapon R&D associated with safety; SS-21; Laboratory weapons response; the Integrated Weapons Activity Plan; pit manufacturing and certification, science, and engineering campaigns; and Readiness in Technical Base Facilities program readiness. Contracting Officers will ensure Laboratory support requirements related to safety of operations of the defense nuclear weapons complex are tracked and met within the current resources of the contract. The NNSA COR nominating letters for DSW and the national laboratory site office COR appointment letters are enclosed per Commitment 4.4.1 of DNFSB Recommendation 2002-2.

If you have any further questions, please call me at (202) 586-1730.

Sincerely,

A handwritten signature in black ink that reads "M. Schoenbauer".

M. Schoenbauer  
Director, Office of Nuclear  
Weapons Stockpile  
Defense Programs

Enclosures

cc: M. Whitaker



SEPARATION

PAGE

03.1050

United States Government

Department of Energy

**memorandum**National Nuclear Security Administration  
Los Alamos Site Office  
Los Alamos, New Mexico 87544

DATE: JUN 30 2003  
 REPLY TO: LASO  
 ATTN OF: Appointment of Contracting Officer Representative for Contract W-7405-ENG-48,  
 SUBJECT: the Regents of the University of California, Los Alamos National Laboratory

TO: Martin J. Schoenbauer, Director, Office of Nuclear Weapons Stockpile, NA-122,  
 HQ/GTN

Pursuant to and in accordance with NNSA Policy Letter BOP.003.0302, Appointment of Contracting Officer's Representatives (COR) for NNSA Management and Operating Contracts, and the contract clause entitled "Performance Direction," you are hereby appointed to act as the Contracting Officer's Representative (COR) in relation to the performance of work under the subject contract.

Your COR appointment authority is limited to:

- Providing program direction for DSW Maintenance; Evaluation; Dismantle/Dispose; Production Support, Field, for subject contract,
- Initiating timely work authorizations for DSW Maintenance; Evaluation; Dismantle/Dispose; Production Support, Field, for subject contract, and
- Performing oversight activities for DSW Maintenance; Evaluation; Dismantle/Dispose; Production Support, Field, for subject contract.

NEITHER THIS APPOINTMENT NOR ANY COR RESPONSIBILITIES MAY BE REDELEGATED TO OTHERS.

The terms and conditions of this appointment are as follows:

1. Perform contract oversight activities and other functions under your purview associated with performance not involving a change in scope, cost, terms, or conditions of the contract. Any corrective action proposed as a result of oversight activities or other performance awareness shall be provided to and discussed with the Site Office Manager. The Site Office Manager will issue any corrective action requests to the contractor. In this regard, you should ensure that you are familiar with the requirements of the contract and your functional responsibilities relative to the contractual requirements.

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JUN 30 2003

Martin J. Schoenbauer

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2. Ensure that the contractor complies with all requirements of the work under your purview defined in the scope of work, including reports, documentation, data, work products, milestone schedules, and deliverables. In this connection, you shall:
  - a. Provide all initiated work authorization (WAs) documents to the Los Alamos Site Office Contracting Officer (LASO-CO) for approval with copies to the Field Financial Manager.
  - b. Inform the LASO-CO, in writing, of any performance failure by the contractor.
  - c. Inform the LASO-CO if you foresee that the contract or any Work Authorization will not be completed according to schedule, and/or estimated cost. Your written notice should include your recommendations for resolving the schedule problem, and/or revising the estimated cost.
  - d. Ensure that the Government meets its contractual obligations to the contractor. This includes, but is not limited to, furnishing any Government property and services specified in the contract and providing timely government comment on or approval of contract deliverables as may be required by the contract.
  - e. Issue written performance direction within the limitations set forth in this appointment and in accordance with the Performance Direction clause of the contract. A copy of all performance direction sent to the contractor shall be provided to the LASO-CO. Any disagreement in the performance direction shall be brought to the LASO-CO for resolution.
  - f. Assist the contractor in interpreting the requirements of the contract. You are to immediately report to the LASO-CO, in writing, all issues that cannot be resolved without increasing costs or changing the contract, and any issue that cannot be mutually agreed to so that the LASO-CO can take action to resolve. Such reports must include the facts pertinent to the issue and the recommended action.
  - g. Review, inspect, and accept or decline all authorized deliverables within the scope of your appointment. You are to immediately report to the LASO-CO any unauthorized deliverables that are outside your scope of appointment so that the LASO-CO can take appropriate action.
  - h. Assist the LASO-CO in the development of the annual Performance Evaluation Plan (PEP) by providing timely performance expectations.

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Martin J. Schoenbauer

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- i. Provide timely evaluation input to the LASO-CO for assessing contractor performance in the development of the Performance Evaluation Report (PER) in every phase. This includes obtaining, coordinating, and consolidating feedback and input from all site offices, internal personnel, and other federal organizations, as applicable.
- j. Inform the LASO-CO of any potential or evidence of real or perceived organizational conflicts of interest (OCI) matters or employee ethics or integrity issues.
- k. Provide a written statement to the LASO-CO attesting to the contractor's completion of performance, delivery, and acceptance of all goods and services for which inspection and acceptance are delegated. Provide any required closeout information to the LASO-CO and make disposition of all records and documents pertinent to the administration of the contract which you retained in your capacity as COR during the contract performance period.
- l. Prepare a written record of meetings, trips, and telephone conversations relating to your COR actions under the subject contract. Each record and all correspondence relating to your appointment for this contract should cite the contract number, date, time, and location, as necessary to be a complete record. It is requested that a copy of records or correspondence that you generate or receive relating to the contract be accessible to or furnished to the LASO-CO, upon request, and other interested parties having a need to know. The utmost care must be given to restrictions regarding proprietary data and classified and business-sensitive information.

In performing these responsibilities, you are not authorized to re-delegate any COR authority and responsibility to others or negotiate terms or make any agreements or commitments with the contractor that involve a change in the scope, price/cost, terms, or conditions of the contract. Only the LASO-CO is authorized to modify any term or condition of the contract, waive any requirement of the contract, or approve costs incurred or make determinations of cost allowability.

This COR appointment may be revoked at anytime for failure to perform within the appointment limitations and terms and conditions detailed in paragraphs 1 and 2 above.

This appointment and its authority shall become effective upon your acceptance and shall remain in effect as long as you are assigned to the contract, or this delegation is rescinded in writing, or the contract is completed. You are to immediately notify the LASO-CO, in writing, of any reassignment from this contract or termination of employment from the department.

JUN 30 2003

Martin J. Schoenbauer

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Please acknowledge acceptance of the COR appointment and return one copy to the LASO-CO identified below.



E. Dennis Martinez  
Contracting Officer  
Los Alamos Site Office

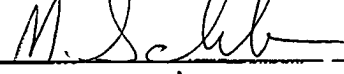


for: Ralph E. Erickson  
Manager  
Los Alamos Site Office

**ACCEPTANCE OF APPOINTMENT**

I hereby accept the responsibility to perform the functions delegated herein to the best of my ability. I understand and will abide by the principles of ethical conduct for Government officers and employees.

Name of Appointed COR: Martin J. Schoenbauer

Signature of COR:  Date: 6/30/03

Cc: Eugene T. Rodriguez, Asst. Mgr. For Program Liaison, LASO  
MSSD/ASD, NNSA Service Center

SEPARATION

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**Department of Energy**  
 National Nuclear Security Administration  
 Livermore Site Office  
 PO Box 808, L-293  
 7000 East Avenue  
 Livermore, California 94551-0808

JUN 27 2003

MEMORANDUM FOR MARTIN J. SCHOENBAUER  
 DIRECTOR, OFFICE OF NUCLEAR WEAPON STOCKPILE

FROM:

*Camille Yuan-Soo Hoo*  
 CAMILLE YUAN-SOO HOO  
 MANAGER

SUBJECT: Appointment of Contracting Officer Representative for Contract W-7405-ENG-48 with The Regents of the University of California for Lawrence Livermore National Laboratory

Pursuant to and in accordance with NNSA Policy Letter BOP.003.0302, Appointment of Contracting Officer's Representatives (COR) for NNSA Management and Operating Contracts, and the contract clause entitled "Performance Direction," you are hereby appointed to act as the COR by the Government to the Contractor for performance of work under the subject contract.

Your COR appointment authority is limited to:

- Providing program direction for Directed Stockpile Maintenance evaluation, dismantle/dispose, production support, field for subject contract,
- Initiating timely work authorizations for Directed Stockpile Maintenance evaluation, dismantle/dispose, production support, field for subject contract, and
- Performing oversight activities Directed Stockpile Maintenance evaluation, dismantle/dispose, production support, field for subject contract.

**NEITHER THIS APPOINTMENT NOR ANY COR RESPONSIBILITIES MAY BE REDELEGATED TO OTHERS.**

The terms and conditions of this appointment are as follows:

1. Perform contract oversight activities and other functions under your purview associated with performance not involving a change in scope, cost, terms, or conditions of the contract. Any corrective action as a result of oversight activities or other performance awareness shall be provided to and discussed with the Site Office Manager. The Site Office Manager will issue the corrective action request to the contractor. In this regard, you should ensure that you are familiar with the requirements of the contract and your functional responsibilities relative to the contractual requirements.



2. Ensure that the contractor complies with all requirements of the work defined in the scope of work, including reports, documentation, data, work products, milestone schedules, and deliverables. In this connection, you shall:
  - a. Provide all initiated work authorization (WAs) documents to the Livermore Site Office Contracting Officer (LSO-CO) for approval, with copies to the Field Financial Manager.
  - b. Inform the LSO-CO, in writing, of any performance failure by the contractor.
  - c. Inform the LSO-CO if you foresee that the contract or any Work Authorization will not be completed according to schedule, and/or estimated cost. Your written notice should include your recommendations for resolving the schedule problem, and/or revising the estimated cost.
  - d. Ensure that the Government meets its contractual obligations to the contractor. This includes, but is not limited to, furnishing any Government property and services specified in the contract and providing timely government comment on or approval of contract deliverables as may be required by the contract.
  - e. Issue written performance direction within the limitations set forth in this appointment and in accordance with the Performance Direction clause of the contract. A copy of all performance direction sent to the contractor shall be provided to the LSO-CO. Any disagreement in the performance direction shall be brought to the LSO-CO for resolution.
  - f. Assist the contractor in interpreting the requirements of the contract. You are to immediately report to the LSO-CO, in writing, all issues that cannot be resolved without increasing costs or changing the contract, and any issue that cannot be mutually agreed to so that the LSO-CO can take action to resolve. Such reports must include the facts pertinent to the issue and the recommended action.
  - g. Review, inspect, and accept or decline all authorized deliverables within the scope of your appointment. You are to immediately report to the LSO-CO any unauthorized deliverables that are outside your scope of appointment so that the LSO-CO can take appropriate action.
  - h. Assist the LSO-CO in the development of the annual Performance Evaluation Plan (PEP) by providing timely performance expectations.

- i. Provide timely evaluation input to the LSO-CO for assessing contractor performance in the development of the Performance Evaluation Report (PER) in every phase. This includes obtaining, coordinating, and consolidating feedback and input from all site offices, internal personnel, and other federal organizations, as applicable.
- j. Inform the LSO-CO of any potential or evidence of real or perceived organizational conflicts of interest (OCI) matters or employee ethics or integrity issues.
- k. Provide a written statement to the LSO-CO attesting to the contractor's completion of performance, delivery, and acceptance of all goods and services for which inspection and acceptance are delegated. Provide any required closeout information to the LSO-CO and make disposition of all records and documents pertinent to the administration of the contract which you retained in your capacity as COR during the contract performance period.
- l. Prepare a written record of meetings, trips, and telephone conversations relating to your COR actions under the subject contract. Each record and all correspondence relating to your appointment for this contract should cite the contract number, date, time, and location, as necessary to be a complete record. It is requested that a copy of records or correspondence that you generate or receive relating to the contract be accessible to or furnished to the LSO-CO, upon request, and other interested parties having a need to know. The utmost care must be given to restrictions regarding proprietary data and classified and business-sensitive information.

In performing these responsibilities, you are not authorized to re-delegate any COR authority and responsibility to others or negotiate terms or make any agreements or commitments with the contractor that involve a change in the scope, price/cost, terms, or conditions of the contract. Only the LSO-CO is authorized to modify any term or condition of the contract, waive any requirement of the contract, or approve costs incurred or make determinations of cost allowability.

This COR appointment may be revoked at anytime for failure to perform within the appointment limitations and terms and conditions detailed in paragraphs 1 and 2 above.

This appointment and its authority shall become effective upon your acceptance and shall remain in effect as long as you are assigned to the contract, or this delegation is rescinded in writing, or the contract is completed. You are to immediately notify the LSO-CO, in writing, of any reassignment from this contract or termination of employment from the Department.

Mr. M. Schoenbauer

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All COR correspondence shall be emailed to ronna.promani@oak.doe.gov, faxed to 925-423-7668, or mailed to:

Mrs. Ronna Promani  
Contracting Officer  
U.S. Department of Energy/NNSA  
Livermore Site Office, M/S L-293  
7000 East Avenue  
Livermore, CA 94550

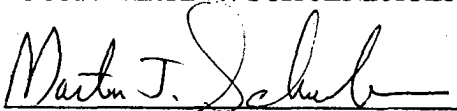
Mrs. Promani can be contacted at 925-423-8050 for any questions.

Please acknowledge acceptance of this COR appointment and return a copy to Mrs. Promani at the address above.

ACCEPTANCE OF APPOINTMENT

I hereby accept the responsibility to perform the functions delegated herein to the best of my ability. I understand and will abide by the principles of ethical conduct for Government officers and employees.

Name of Appointed COR: MARTIN J. SCHOENBAUER

Signature of COR:  Date: 6/30/03

cc:  
M. Anastasio, LLNL  
G. Mara, LLNL  
B. Darling, VPLM

SEPARATION

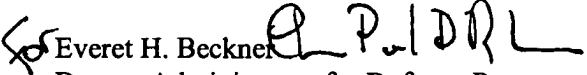
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**Department of Energy**  
**National Nuclear Security Administration**  
 Washington, DC 20585

JUN 06 2003

**MEMORANDUM FOR:** Karen Boardman  
 Manager  
 Sandia Site Office

**FROM:**  Everet H. Beckner  
 Deputy Administrator for Defense Programs

**SUBJECT:** Nomination of Defense Programs Contracting Officer  
 Representatives for the Sandia Site Office

1. Pursuant to NNSA Policy Letter: BOP.003.0302 entitled *Appointment of Contracting Officer's Representatives (COR) for NNSA Management and Operating (M&O) Contracts*, the following individuals are nominated to be CORs in relation to the tasking and guidance provided by the Government to the Contractor for performance of work under the current M&O Contract:

**A. Name of Nominee: David H. Crandall**

**Position:** SES

**Title:** Assistant Deputy Administrator for Research, Development, and Simulation

**Location:** Washington, DC

**Major Program or Functional Area:** Science Campaigns; RTBF Program Readiness; Advanced Simulation and Computing; Directed Stockpile Work (DSW) Research and Development; Pit Manufacturing and Certification; and ICF and High Yield.

**General Statement of Need for a COR Appointment:** Dr. Crandall is responsible for the entire Research, Development, and Simulation programs for Science Campaigns; RTBF Program Readiness; Advanced Simulation and Computing; Directed Stockpile Work (DSW) Research and Development; Pit Manufacturing and Certification; and ICF and High Yield at Lawrence Livermore, Los Alamos, and Sandia National Laboratories, Pantex, Kansas City and Y-12 Production Plants; Nevada Test Site; and Savannah River Site. It is necessary that Dr. Crandall be appointed as a COR to perform activities associated with the above programs.

**Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions):** COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Science Campaigns; RTBF Program Readiness; Advanced Simulation and Computing; Directed Stockpile Work (DSW) Research and Development; Pit Manufacturing and Certification; and ICF and High Yield.

**A Federal Employee or An Individual Assigned to NNSA:** Federal Employee

**Date successfully completed the NNSA tailored "COR Training for M&O Contracts:** COR training was taken and completed January 14-15, 2003.



Date received General Counsel Briefing: May 29, 2003

**B. Name of Nominee: James L. Van Fleet**

Position: EK-V

Title: Director, Office of Defense Science

Location: Washington, DC

Major Program or Functional Area: Science Campaigns; RTBF Program Readiness

General Statement of Need for a COR Appointment: Mr. Van Fleet is responsible for nuclear weapons science at Los Alamos National Laboratory, Lawrence Livermore National Laboratory, Sandia National Laboratories, and the Nevada Test Site and for supporting science at universities. This science underpins the design, development, modeling, simulation and engineering that provide the scientific basis for certification that the nuclear weapons stockpile is safe, secure and reliable. The responsibilities of Defense Science include the design and operations programs for the experimental facilities associated with the science mission. The organization is also responsible for maintaining the readiness to perform the underground nuclear tests and to develop new warheads, if required.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): Mr. Van Fleet should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Science Campaigns; RTBF Program Readiness

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.

Date received General Counsel Briefing: May 14, 2003

**C. Name of Nominee: Robert E. Meisner**

Position: EN-V

Title: Office of Advanced Simulation and Computing

Location: Washington, DC

Major Program or Functional Area: Advanced Simulation and Computing (ASC)

General Statement of Need for a COR Appointment: As the ASCI program COR, Mr. Meisner orchestrates investments and expenditures required to build a predictive weapons simulation capability to benefit the nuclear weapons complex and provide the modeling and computer simulation capabilities required for maintaining the safety and reliability of the nuclear weapons stockpile in the absence of underground testing. The program ensures that the computing environment is capable of supporting the requirements of predicting the viability of the nuclear weapons stockpile through simulation. The key components of the environment are computer systems, networks (system-area, local-area, and wide-area), facilities for archiving, manipulating, and visualizing the simulation results, and systems software that integrates these components into a unified tool for simulation.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Advanced Simulation and Computing (ASC).

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.

Date received General Counsel Briefing: May 14, 2003

**D. Name of Nominee: Diane E. Bird**

Position: EK-V

Title: Director, Office of Stockpile Assessments and Certification

Location: Washington, DC

Major Program or Functional Area: Directed Stockpile Work (DSW) Research and Development.

General Statement of Need for a COR Appointment: Ms. Bird is responsible for program management, direction and oversight of scientific, and technical research and development (R&D) activities throughout the NNSA Defense Programs (DP) laboratory complex in Directed Stockpile Work, life extension programs, and Engineering Campaigns. This includes (1) definition of DSW R&D and Engineering Campaign mission needs, (2) development and oversight of multi-year key technical deliverables to support weapons development and assessment and (3) development of multi-year budget plans aligned with these key technical deliverables, and participation in the analysis, formulation, and review of budget requests for DSW R&D within the NNSA Stockpile Stewardship program across NNSA/DP Campaigns and DSW, and the Engineering Campaign programs at the laboratories and production agencies, and in the preparation of justifications, explanatory briefings, and appeal of adverse actions relative to budget submissions.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Directed Stockpile Work (DSW) Research and Development, and Engineering Campaigns.

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.

Date received General Counsel Briefing: May 29, 2003

**E. Name of Nominee: Jerry M. Freedman**

Position: EJ-V

Title: Director, Pit Project Office

Location: Washington, DC

Major Program or Functional Area: Pit Manufacturing and Certification

General Statement of Need for a COR Appointment: As Project Manager for the W88 Pit Project, the Pit Manufacturing and Certification Campaign, and the Modern Pit Facility, Mr. Freedman requires appointment as a Contracting Officer's Representative in order to properly manage work scope at the Los Alamos National Laboratory, Lawrence Livermore National Laboratory, Sandia National Laboratories, and the Nevada Test Site.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for the W88 Pit Project, the Pit Manufacturing and Certification Campaign, and the Modern Pit Facility.

A Federal Employee or An Individual Assigned to NNSA: Federal Employee  
Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.  
Date received General Counsel Briefing: May 14, 2003

3. Name of Nominee: **Christopher J. Keane**

Position: EJ-V

Title: Director, Secondaries and Inertial Fusion Division

Location: Washington, DC

Major Program or Functional Area: ICF and High Yield

General Statement of Need for a COR Appointment: Mr. Keane leads the planning, development, and implementation of the ICF, High Yield, National Ignition Facility ignition programs and other high energy density activities (experimental and theoretical studies) required for the Stockpile Stewardship Program. This includes participation in the National Ignition Facility project management. Mr. Keane provides program direction and management of R&D activities performed by Lawrence Livermore, Los Alamos, and Sandia National Laboratories

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for ICF and High Yield.

A Federal Employee or An Individual Assigned to NNSA: Federal Employee  
Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.  
Date received General Counsel Briefing: May 14, 2003

3. Name of Nominee: **Martin J. Schoenbauer**

Position: SES

Title: Director, Office of Nuclear Weapons Stockpile

Location: Washington, DC

Major Program or Functional Area: DSW Maintenance; evaluation; dismantle/dispose; production support; field

General Statement of Need for a COR Appointment: Mr. Schoenbauer requires a COR appointment in order to provide program direction, work authorizations and oversight for Stockpile Management directed stockpile work, life extension programs and the enhanced surveillance campaign at the Lawrence Livermore, Los Alamos, and Sandia National Laboratories, Pantex, Kansas City and Y-12 Production Plants; Nevada Test Site; and Savannah River Site to meet stockpile needs and stockpile readiness capabilities.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for DSW Maintenance; evaluation; dismantle/dispose; production support; field.

A Federal Employee or An Individual Assigned to NNSA: Federal Employee  
Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.



3. Name of Nominee: **Xavier Ascanio**

Position: SES

Title: Director, Office of Operations and Construction Management

Location: Washington, DC

Major Program or Functional Area: Readiness in Technical Base and Facilities (RTBF) Operations of Facilities, Program Readiness, Material Recovery and Recycle, Containers, and Storage

General Statement of Need for a COR Appointment: Mr. Ascanio requires a COR appointment in order to provide program direction, work authorizations and oversight for the RTBF programs, including associated environmental, safety and health at Lawrence Livermore, Los Alamos, and Sandia National Laboratories, Pantex, Kansas City and Y-12 Production Plants; Nevada Test Site; and Savannah River Site and in support of the stockpile needs and ensuring their continuing capability to perform their missions.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Readiness in Technical Base and Facilities (RTBF) Operations of Facilities, Program Readiness, Material Recovery and Recycle, Containers, and Storage.

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.

Date received General Counsel Briefing: May 14, 2003

3. Name of Nominee: **Roger A. Lewis**

Position: SES

Title: Director, Office of Stockpile Technology

Location: Washington, DC

Major Program or Functional Area: Readiness Campaigns

General Statement of Need for a COR Appointment: Mr. Lewis requires a COR appointment in order to provide program direction, work authorizations, oversight for the research and development, engineering, prototype, demonstration and related projects and activities related to the Readiness Campaigns including Tritium, these include the ADAPT program, Stockpile Readiness Campaign [Y-12 specific], the High Explosive Manufacturing Weapons Assembly and Disassembly Campaign [essentially just at Pantex], and the Nonnuclear Readiness Campaign [KCP, SNL & LANL specific]. This appointment should also extend to tasks, generally small, at the other NNSA sites and at external organizations, such as universities and other government agencies, in cases where they directly relate to or support the Readiness campaigns. This appointment should also extend to appropriate aspects of complementary work activities related to NA-12 and to Plant Directed Research and Development activities, especially those associated with topical areas covered in the Applied Technology Roadmap or related to the area of Responsive Infrastructure

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for the Readiness Campaign activities (except for Tritium).

A Federal Employee or An Individual Assigned to NNSA: Federal Employee  
Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.  
Date received General Counsel Briefing: May 14, 2003

3. Name of Nominee: **Daniel D. Rose**

Position: GS-15

Title: Director SLBM Division

Location: Albuquerque, NM

Major Program or Functional Area: United Kingdom Programs

General Statement of Need for a COR Appointment: Mr. Rose requires a COR appointment in order to provide program direction, work authorizations and oversight for the research, development, and experimental activities Lawrence Livermore, Los Alamos, and Sandia National Laboratories, Pantex, Kansas City and Y-12 Production Plants; Nevada Test Site; and Savannah River Site in support of the U.S. Nuclear Weapons Stockpile and the United Kingdom Mutual Defense Agreement needs and future capabilities.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for United Kingdom Programs (the U.S. Nuclear Weapons Stockpile and United Kingdom Mutual Defense Agreement).

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.

Date received General Counsel Briefing: May 21, 2003

3. Name of Nominee: **Paul F. Ross**

Position: GS-15

Title: Acting Director, Office of the National Ignition Facility (NIF) Project

Location: Washington, DC

Major Program or Functional Area: NIF Project

General Statement of Need for a COR Appointment: Mr. Ross requires a COR appointment in order to provide program direction, work authorizations and oversight for the research and development and experimental programs at Lawrence Livermore, Los Alamos, and Sandia National Laboratories in support of stockpile needs and the foundations of future laboratory capabilities, and the NIF Project.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited providing program direction, initiating timely work authorizations, and performing oversight activities the National Ignition Facility Project

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed December 3-4, 2002.

Date received General Counsel Briefing: May 14, 2003

2. A copy of a statement from NNSA Office of General Counsel that each nominee's financial interests do not conflict with the proposed COR duties in the major program or functional area will be available from the Office of General Counsel.
3. General technical and programmatic interactions with Site Office and M&O personnel that do not involve changes to cost, scope or schedule can, and will, be conducted routinely by NA-10 program managers and designated staff as needed.
4. A nomination memorandum for David E. Beck, Associate Deputy Administrator for Military Applications and Stockpile Operations will be forwarded as soon as all briefing requirements are completed.
5. A nomination memorandum for a COR for the Secure Transportation Asset will be forwarded as soon as all requirements are met.

cc:

T. Hunter, SNL  
W. Barker, NA-1  
T. Przybylek, NA-3.1  
K. Baker, NA-20  
R. Arkin, NA-40  
G. Rudy, NA-50  
M. Kane, NA-60  
D. Crandall, NA-11  
D. Beck, NA-12  
T. D'Agostino, NA-13  
S. Hafner, NA-15  
J. Van Fleet, NA-113  
C. Keane, NA-113.1  
D. Bird, NA-115  
R. Meisner, NA-115  
J. Freedman, NA-116  
M. Schoenbauer, NA-122  
X. Ascanio, NA-124  
R. Lewis, NA-12  
D. Rose, NA-122.3  
P. Ross, NA-10.2

SEPARATION

PAGE



**Department of Energy**  
**National Nuclear Security Administration**  
 Washington, DC 20585

JUN 06 2003

**MEMORANDUM FOR:** Camille Yuan-Soo Hoo  
 Manager  
 Livermore Site Office

**FROM:** *EH* Everet H. Beckner *E.P. DRL*  
 Deputy Administrator for Defense Programs

**SUBJECT:** Nomination of Defense Programs Contracting Officer  
 Representatives for the Livermore Site Office

1. Pursuant to NNSA Policy Letter: BOP.003.0302 entitled *Appointment of Contracting Officer's Representatives (COR) for NNSA Management and Operating (M&O) Contracts*, the following individuals are nominated to be CORs in relation to the tasking and guidance provided by the Government to the Contractor for performance of work under the current M&O Contract:

**A. Name of Nominee: David H. Crandall**

**Position: SES**

**Title: Assistant Deputy Administrator for Research, Development, and Simulation**

**Location: Washington, DC**

**Major Program or Functional Area: Science Campaigns; RTBF Program Readiness; Advanced Simulation and Computing; Directed Stockpile Work (DSW) Research and Development; Pit Manufacturing and Certification; and ICF and High Yield.**

**General Statement of Need for a COR Appointment: Dr. Crandall is responsible for the entire Research, Development, and Simulation programs for Science Campaigns; RTBF Program Readiness; Advanced Simulation and Computing; Directed Stockpile Work (DSW) Research and Development; Pit Manufacturing and Certification; and ICF and High Yield at Lawrence Livermore, Los Alamos, and Sandia National Laboratories, Pantex, Kansas City and Y-12 Production Plants; Nevada Test Site; and Savannah River Site. It is necessary that Dr. Crandall be appointed as a COR to perform activities associated with the above programs.**

**Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Science Campaigns; RTBF Program Readiness; Advanced Simulation and Computing; Directed Stockpile Work (DSW) Research and Development; Pit Manufacturing and Certification; and ICF and High Yield.**

**A Federal Employee or An Individual Assigned to NNSA: Federal Employee**

**Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.**



Date received General Counsel Briefing: May 29, 2003

B. Name of Nominee: **James L. Van Fleet**

Position: EK-V

Title: Director, Office of Defense Science

Location: Washington, DC

Major Program or Functional Area: Science Campaigns; RTBF Program Readiness

General Statement of Need for a COR Appointment: Mr. Van Fleet is responsible for nuclear weapons science at Los Alamos National Laboratory, Lawrence Livermore National Laboratory, Sandia National Laboratories, and the Nevada Test Site and for supporting science at universities. This science underpins the design, development, modeling, simulation and engineering that provide the scientific basis for certification that the nuclear weapons stockpile is safe, secure and reliable. The responsibilities of Defense Science include the design and operations programs for the experimental facilities associated with the science mission. The organization is also responsible for maintaining the readiness to perform the underground nuclear tests and to develop new warheads, if required.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): Mr. Van Fleet should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Science Campaigns; RTBF Program Readiness

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.

Date received General Counsel Briefing: May 14, 2003

C. Name of Nominee: **Robert E. Meisner**

Position: EN-V

Title: Office of Advanced Simulation and Computing

Location: Washington, DC

Major Program or Functional Area: Advanced Simulation and Computing (ASC)

General Statement of Need for a COR Appointment: As the ASCI program COR, Mr. Meisner orchestrates investments and expenditures required to build a predictive weapons simulation capability to benefit the nuclear weapons complex and provide the modeling and computer simulation capabilities required for maintaining the safety and reliability of the nuclear weapons stockpile in the absence of underground testing. The program ensures that the computing environment is capable of supporting the requirements of predicting the viability of the nuclear weapons stockpile through simulation. The key components of the environment are computer systems, networks (system-area, local-area, and wide-area), facilities for archiving, manipulating, and visualizing the simulation results, and systems software that integrates these components into a unified tool for simulation.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Advanced Simulation and Computing (ASC).

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

A Federal Employee or An Individual Assigned to NNSA: Federal Employee  
Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.  
Date received General Counsel Briefing: May 14, 2003

F. Name of Nominee: **Christopher J. Keane**

Position: EJ-V

Title: Director, Secondaries and Inertial Fusion Division

Location: Washington, DC

Major Program or Functional Area: ICF and High Yield

General Statement of Need for a COR Appointment: Mr. Keane leads the planning, development, and implementation of the ICF, High Yield, National Ignition Facility ignition programs and other high energy density activities (experimental and theoretical studies) required for the Stockpile Stewardship Program. This includes participation in the National Ignition Facility project management. Mr. Keane provides program direction and management of R&D activities performed by Lawrence Livermore, Los Alamos, and Sandia National Laboratories

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for ICF and High Yield.

A Federal Employee or An Individual Assigned to NNSA: Federal Employee  
Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.  
Date received General Counsel Briefing: May 14, 2003

G. Name of Nominee: **Martin J. Schoenbauer**

Position: SES

Title: Director, Office of Nuclear Weapons Stockpile

Location: Washington, DC

Major Program or Functional Area: DSW Maintenance; evaluation; dismantle/dispose; production support; field

General Statement of Need for a COR Appointment: Mr. Schoenbauer requires a COR appointment in order to provide program direction, work authorizations and oversight for Stockpile Management directed stockpile work, life extension programs and the enhanced surveillance campaign at the Lawrence Livermore, Los Alamos, and Sandia National Laboratories, Pantex, Kansas City and Y-12 Production Plants; Nevada Test Site; and Savannah River Site to meet stockpile needs and stockpile readiness capabilities.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for DSW Maintenance; evaluation; dismantle/dispose; production support; field.

A Federal Employee or An Individual Assigned to NNSA: Federal Employee  
Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.

**H. Name of Nominee: Xavier Ascanio**

**Position: SES**

**Title: Director, Office of Operations and Construction Management**

**Location: Washington, DC**

**Major Program or Functional Area: Readiness in Technical Base and Facilities (RTBF) Operations of Facilities, Program Readiness, Material Recovery and Recycle, Containers, and Storage**

**General Statement of Need for a COR Appointment: Mr. Ascanio requires a COR appointment in order to provide program direction, work authorizations and oversight for the RTBF programs, including associated environmental, safety and health at Lawrence Livermore, Los Alamos, and Sandia National Laboratories, Pantex, Kansas City and Y-12 Production Plants; Nevada Test Site; and Savannah River Site and in support of the stockpile needs and ensuring their continuing capability to perform their missions.**

**Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Readiness in Technical Base and Facilities (RTBF) Operations of Facilities, Program Readiness, Material Recovery and Recycle, Containers, and Storage.**

**A Federal Employee or An Individual Assigned to NNSA: Federal Employee**

**Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.**

**Date received General Counsel Briefing: May 14, 2003**

**I. Name of Nominee: Daniel D. Rose**

**Position: GS-15**

**Title: Director SLBM Division**

**Location: Albuquerque, NM**

**Major Program or Functional Area: United Kingdom Programs**

**General Statement of Need for a COR Appointment: Mr. Rose requires a COR appointment in order to provide program direction, work authorizations and oversight for the research, development, and experimental activities Lawrence Livermore, Los Alamos, and Sandia National Laboratories, Pantex, Kansas City and Y-12 Production Plants; Nevada Test Site; and Savannah River Site in support of the U.S. Nuclear Weapons Stockpile and the United Kingdom Mutual Defense Agreement needs and future capabilities.**

**Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for United Kingdom Programs (the U.S. Nuclear Weapons Stockpile and United Kingdom Mutual Defense Agreement).**

**A Federal Employee or An Individual Assigned to NNSA: Federal Employee**

**Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.**

**Date received General Counsel Briefing: May 21, 2003**



J. Name of Nominee: **Paul F. Ross**

Position: GS-15

Title: Acting Director, Office of the National Ignition Facility (NIF) Project

Location: Washington, DC

Major Program or Functional Area: NIF Project

General Statement of Need for a COR Appointment: Mr. Ross requires a COR appointment in order to provide program direction, work authorizations and oversight for the research and development and experimental programs at Lawrence Livermore, Los Alamos, and Sandia National Laboratories in support of stockpile needs and the foundations of future laboratory capabilities, and the NIF Project.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited providing program direction, initiating timely work authorizations, and performing oversight activities the National Ignition Facility Project

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed December 3-4, 2002.

Date received General Counsel Briefing: May 14, 2003

2. A copy of a statement from NNSA Office of General Counsel that each nominee's financial interests do not conflict with the proposed COR duties in the major program or functional area will be available from the Office of General Counsel.
3. General technical and programmatic interactions with Site Office and M&O personnel that do not involve changes to cost, scope or schedule can, and will, be conducted routinely by NA-10 program managers and designated staff as needed.
4. A nomination memorandum for David E. Beck, Associate Deputy Administrator for Military Applications and Stockpile Operations will be forwarded as soon as all briefing requirements are completed.

cc:

B. Goodwin, LLNL

W. Barker, NA-1

T. Przybylek, NA-3.1

K. Baker, NA-20

R. Arkin, NA-40

G. Rudy, NA-50

M. Kane, NA-60

D. Crandall, NA-11

D. Beck, NA-12

T. D'Agostino, NA-13

S. Hafner, NA-15

J. Van Fleet, NA-113

D. Bird, NA-115

R. Meisner, NA-115

J. Freedman, NA-116  
M. Schoenbauer, NA-122  
X. Ascanio, NA-124  
R. Lewis, NA-12  
D. Rose, NA-122.3  
P. Ross, NA-10.2  
M. Keane, NA-113.1

SEPARATION

PAGE



03.1050

Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585  
JUN 06 2003

**MEMORANDUM FOR:** Ralph E. Erickson  
Manager  
Los Alamos Site Office

**FROM:** For Everet H. Beckner *Pa. D'Agostino*  
Deputy Administrator for Defense Programs

**SUBJECT:** Nomination of Defense Programs Contracting Officer  
Representatives for the Los Alamos Site Office

1. Pursuant to NNSA Policy Letter: BOP.003.0302 entitled *Appointment of Contracting Officer's Representatives (COR) for NNSA Management and Operating (M&O) Contracts*, the following individuals are nominated to be CORs in relation to the tasking and guidance provided by the Government to the Contractor for performance of work under the current M&O Contract:

**A. Name of Nominee: David H. Crandall**

**Position: SES**

**Title: Assistant Deputy Administrator for Research, Development, and Simulation**

**Location: Washington, DC**

**Major Program or Functional Area: Science Campaigns; RTBF Program Readiness; Advanced Simulation and Computing; Directed Stockpile Work (DSW) Research and Development; Pit Manufacturing and Certification; and ICF and High Yield.**

**General Statement of Need for a COR Appointment: Dr. Crandall is responsible for the entire Research, Development, and Simulation programs for Science Campaigns; RTBF Program Readiness; Advanced Simulation and Computing; Directed Stockpile Work (DSW) Research and Development; Pit Manufacturing and Certification; and ICF and High Yield at Lawrence Livermore, Los Alamos, and Sandia National Laboratories, Pantex, Kansas City and Y-12 Production Plants; Nevada Test Site; and Savannah River Site. It is necessary that Dr. Crandall be appointed as a COR to perform activities associated with the above programs.**

**Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Science Campaigns; RTBF Program Readiness; Advanced Simulation and Computing; Directed Stockpile Work (DSW) Research and Development; Pit Manufacturing and Certification; and ICF and High Yield.**

**A Federal Employee or An Individual Assigned to NNSA: Federal Employee**

**Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.**

**Date received General Counsel Briefing: May 29, 2003**



Date received General Counsel Briefing: May 29, 2003

**B. Name of Nominee: James L. Van Fleet**

Position: EK-V

Title: Director, Office of Defense Science

Location: Washington, DC

Major Program or Functional Area: Science Campaigns; RTBF Program Readiness

General Statement of Need for a COR Appointment: Mr. Van Fleet is responsible for nuclear weapons science at Los Alamos National Laboratory, Lawrence Livermore National Laboratory, Sandia National Laboratories, and the Nevada Test Site and for supporting science at universities. This science underpins the design, development, modeling, simulation and engineering that provide the scientific basis for certification that the nuclear weapons stockpile is safe, secure and reliable. The responsibilities of Defense Science include the design and operations programs for the experimental facilities associated with the science mission. The organization is also responsible for maintaining the readiness to perform the underground nuclear tests and to develop new warheads, if required.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): Mr. Van Fleet should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Science Campaigns; RTBF Program Readiness

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.

Date received General Counsel Briefing: May 14, 2003

**C. Name of Nominee: Robert E. Meisner**

Position: EN-V

Title: Office of Advanced Simulation and Computing

Location: Washington, DC

Major Program or Functional Area: Advanced Simulation and Computing (ASC)

General Statement of Need for a COR Appointment: As the ASCI program COR, Mr. Meisner orchestrates investments and expenditures required to build a predictive weapons simulation capability to benefit the nuclear weapons complex and provide the modeling and computer simulation capabilities required for maintaining the safety and reliability of the nuclear weapons stockpile in the absence of underground testing. The program ensures that the computing environment is capable of supporting the requirements of predicting the viability of the nuclear weapons stockpile through simulation. The key components of the environment are computer systems, networks (system-area, local-area, and wide-area), facilities for archiving, manipulating, and visualizing the simulation results, and systems software that integrates these components into a unified tool for simulation.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Advanced Simulation and Computing (ASC).

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.

Date received General Counsel Briefing: May 14, 2003

**D. Name of Nominee: Diane E. Bird**

Position: EK-V

Title: Director, Office of Stockpile Assessments and Certification

Location: Washington, DC

Major Program or Functional Area: Directed Stockpile Work (DSW) Research and Development.

General Statement of Need for a COR Appointment: Ms. Bird is responsible for program management, direction and oversight of scientific, and technical research and development (R&D) activities throughout the NNSA Defense Programs (DP) laboratory complex in Directed Stockpile Work, life extension programs, and Engineering Campaigns. This includes (1) definition of DSW R&D and Engineering Campaign mission needs, (2) development and oversight of multi-year key technical deliverables to support weapons development and assessment and (3) development of multi-year budget plans aligned with these key technical deliverables, and participation in the analysis, formulation, and review of budget requests for DSW R&D within the NNSA Stockpile Stewardship program across NNSA/DP Campaigns and DSW, and the Engineering Campaign programs at the laboratories and production agencies, and in the preparation of justifications, explanatory briefings, and appeal of adverse actions relative to budget submissions.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Directed Stockpile Work (DSW) Research and Development, and Engineering Campaigns.

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.

Date received General Counsel Briefing: May 29, 2003

**E. Name of Nominee: Jerry M. Freedman**

Position: EJ-V

Title: Director, Pit Project Office

Location: Washington, DC

Major Program or Functional Area: Pit Manufacturing and Certification

General Statement of Need for a COR Appointment: As Project Manager for the W88 Pit Project, the Pit Manufacturing and Certification Campaign, and the Modern Pit Facility, Mr. Freedman requires appointment as a Contracting Officer's Representative in order to properly manage work scope at the Los Alamos National Laboratory, Lawrence Livermore National Laboratory, Sandia National Laboratories, and the Nevada Test Site.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for the W88 Pit Project, the Pit Manufacturing and Certification Campaign, and the Modern Pit Facility.

A Federal Employee or An Individual Assigned to NNSA: Federal Employee  
Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.  
Date received General Counsel Briefing: May 14, 2003

F. Name of Nominee: **Christopher J. Keane**

Position: EJ-V

Title: Director, Secondaries and Inertial Fusion Division

Location: Washington, DC

Major Program or Functional Area: ICF and High Yield

General Statement of Need for a COR Appointment: Mr. Keane leads the planning, development, and implementation of the ICF, High Yield, National Ignition Facility ignition programs and other high energy density activities (experimental and theoretical studies) required for the Stockpile Stewardship Program. This includes participation in the National Ignition Facility project management. Mr. Keane provides program direction and management of R&D activities performed by Lawrence Livermore, Los Alamos, and Sandia National Laboratories

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for ICF and High Yield.

A Federal Employee or An Individual Assigned to NNSA: Federal Employee  
Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.  
Date received General Counsel Briefing: May 14, 2003

G. Name of Nominee: **Martin J. Schoenbauer**

Position: SES

Title: Director, Office of Nuclear Weapons Stockpile

Location: Washington, DC

Major Program or Functional Area: DSW Maintenance; evaluation; dismantle/dispose; production support; field

General Statement of Need for a COR Appointment: Mr. Schoenbauer requires a COR appointment in order to provide program direction, work authorizations and oversight for Stockpile Management directed stockpile work, life extension programs and the enhanced surveillance campaign at the Lawrence Livermore, Los Alamos, and Sandia National Laboratories, Pantex, Kansas City and Y-12 Production Plants; Nevada Test Site; and Savannah River Site to meet stockpile needs and stockpile readiness capabilities.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for DSW Maintenance; evaluation; dismantle/dispose; production support; field.

A Federal Employee or An Individual Assigned to NNSA: Federal Employee  
Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.

**H. Name of Nominee: Xavier Ascanio**

**Position:** SES

**Title:** Director, Office of Operations and Construction Management

**Location:** Washington, DC

**Major Program or Functional Area:** Readiness in Technical Base and Facilities (RTBF) Operations of Facilities, Program Readiness, Material Recovery and Recycle, Containers, and Storage

**General Statement of Need for a COR Appointment:** Mr. Ascanio requires a COR appointment in order to provide program direction, work authorizations and oversight for the RTBF programs, including associated environmental, safety and health at Lawrence Livermore, Los Alamos, and Sandia National Laboratories, Pantex, Kansas City and Y-12 Production Plants; Nevada Test Site; and Savannah River Site and in support of the stockpile needs and ensuring their continuing capability to perform their missions.

**Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions):** COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for Readiness in Technical Base and Facilities (RTBF) Operations of Facilities, Program Readiness, Material Recovery and Recycle, Containers, and Storage.

**A Federal Employee or An Individual Assigned to NNSA:** Federal Employee

**Date successfully completed the NNSA tailored "COR Training for M&O Contracts:** COR training was taken and completed January 14-15, 2003.

**Date received General Counsel Briefing:** May 14, 2003

**I. Name of Nominee: Roger A. Lewis**

**Position:** SES

**Title:** Director, Office of Stockpile Technology

**Location:** Washington, DC

**Major Program or Functional Area:** Readiness Campaigns

**General Statement of Need for a COR Appointment:** Mr. Lewis requires a COR appointment in order to provide program direction, work authorizations, oversight for the research and development, engineering, prototype, demonstration and related projects and activities related to the Readiness Campaigns including Tritium, these include the ADAPT program, Stockpile Readiness Campaign [Y-12 specific], the High Explosive Manufacturing Weapons Assembly and Disassembly Campaign [essentially just at Pantex], and the Nonnuclear Readiness Campaign [KCP, SNL & LANL specific]. This appointment should also extend to tasks, generally small, at the other NNSA sites and at external organizations, such as universities and other government agencies, in cases where they directly relate to or support the Readiness campaigns. This appointment should also extend to appropriate aspects of complementary work activities related to NA-12 and to Plant Directed Research and Development activities, especially those associated with topical areas covered in the Applied Technology Roadmap or related to the area of Responsive Infrastructure

**Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions):** COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for the Readiness Campaign activities (except for Tritium).



A Federal Employee or An Individual Assigned to NNSA: Federal Employee  
Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.  
Date received General Counsel Briefing: May 14, 2003

J. Name of Nominee: **Daniel D. Rose**

Position: GS-15

Title: Director SLBM Division

Location: Albuquerque, NM

Major Program or Functional Area: United Kingdom Programs

General Statement of Need for a COR Appointment: Mr. Rose requires a COR appointment in order to provide program direction, work authorizations and oversight for the research, development, and experimental activities Lawrence Livermore, Los Alamos, and Sandia National Laboratories, Pantex, Kansas City and Y-12 Production Plants; Nevada Test Site; and Savannah River Site in support of the U.S. Nuclear Weapons Stockpile and the United Kingdom Mutual Defense Agreement needs and future capabilities.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited to providing program direction, initiating timely work authorizations, and performing oversight activities for United Kingdom Programs (the U.S. Nuclear Weapons Stockpile and United Kingdom Mutual Defense Agreement).

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed January 14-15, 2003.

Date received General Counsel Briefing: May 21, 2003

K. Name of Nominee: **Paul F. Ross**

Position: GS-15

Title: Acting Director, Office of the National Ignition Facility (NIF) Project

Location: Washington, DC

Major Program or Functional Area: NIF Project

General Statement of Need for a COR Appointment: Mr. Ross requires a COR appointment in order to provide program direction, work authorizations and oversight for the research and development and experimental programs at Lawrence Livermore, Los Alamos, and Sandia National Laboratories in support of stockpile needs and the foundations of future laboratory capabilities, and the NIF Project.

Recommended COR Authority Limitations (e.g. limited to certain programs/subprograms, limited to certain actions): COR authority should be limited providing program direction, initiating timely work authorizations, and performing oversight activities the National Ignition Facility Project

A Federal Employee or An Individual Assigned to NNSA: Federal Employee

Date successfully completed the NNSA tailored "COR Training for M&O Contracts: COR training was taken and completed December 3-4, 2002.

Date received General Counsel Briefing: May 14, 2003

2. A copy of a statement from NNSA Office of General Counsel that each nominee's financial interests do not conflict with the proposed COR duties in the major program or functional area will be available from the Office of General Counsel.
3. General technical and programmatic interactions with Site Office and M&O personnel that do not involve changes to cost, scope or schedule can, and will, be conducted routinely by NA-10 program managers and designated staff as needed.
4. A nomination memorandum for David E. Beck, Associate Deputy Administrator for Military Applications and Stockpile Operations will be forwarded as soon as all briefing requirements are completed.

cc:

R. Juzaitis, LANL  
W. Barker, NA-1  
T. Przybylek, NA-3.1  
K. Baker, NA-20  
R. Arkin, NA-40  
G. Rudy, NA-50  
M. Kane, NA-60  
D. Crandall, NA-11  
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J. Freedman, NA-116  
M. Schoenbauer, NA-122  
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R. Lewis, NA-12  
D. Rose, NA-122.3  
P. Ross, NA-10.2  
C. Keane, NA-113.1

SEPARATION

PAGE



Department of Energy  
National Nuclear Security Administration  
Sandia Site Office  
Albuquerque, NM 87185-5400



JUN 30 2003

MEMORANDUM FOR: MARTIN J. SCHOENBAUER, DIRECTOR, OFFICE OF  
NUCLEAR WEAPONS STOCKPILE

FROM: KAREN L. BOARDMAN *Karen L Boardman*  
MANAGER  
SANDIA SITE OFFICE

SUBJECT: APPOINTMENT OF CONTRACTING OFFICER  
REPRESENTATIVE FOR CONTRACT  
NO. DE-AC04-94AL85000 WITH  
SANDIA CORPORATION

Pursuant to and in accordance with NNSA Policy Letter BOP.003.0302, Appointment of Contracting Officer's Representatives (COR) for NNSA Management and Operating Contracts, and the contract clause entitled "Performance Direction," you are hereby appointed to act as the Contracting Officer's Representative (COR) in relation to the services provided to the Government by the contractor in performance of work under the subject contract.

Your COR appointment authority is limited to:

- Providing program direction, initiating timely work authorizations, and performing oversight activities for Directed Stockpile Work (DSW) maintenance; evaluation; dismantle/dispose; production support; and field engineering for the subject contract.

NEITHER THIS APPOINTMENT NOR ANY COR RESPONSIBILITIES MAY BE REDELEGATED TO OTHERS.

The terms and conditions of this appointment are as follows:

1. Perform contract oversight activities and other functions under your purview associated with performance not involving a change in scope, cost, terms, or conditions of the contract. Any corrective action as a result of oversight activities or other performance awareness shall be provided to and discussed with the Site Office Manager. The Site Office Manager will issue the corrective action request to the contractor. In this regard, you should ensure that you are familiar with the requirements of the contract and your functional responsibilities relative to the contractual requirements.
2. Ensure that the contractor complies with all requirements of the work defined in the scope of work, including reports, documentation, data, work products, milestone schedules, and deliverables. In this connection, you shall:

JUN 30 2003

- a. Provide all initiated work authorization (WAs) documents to the Sandia Site Office Contracting Officer (SSO-CO) for approval with copies to the Field Financial Manager
- b. Inform the SSO-CO, in writing, of any performance failure by the contractor.
- c. Inform the SSO-CO if you foresee that the contract or any Work Authorization will not be completed according to schedule, and/or estimated cost. Your written notice should include your recommendations for resolving the schedule problem, and/or revising the estimated cost.
- d. Ensure that the Government meets its contractual obligations to the contractor. This includes, but is not limited to, furnishing any Government property and services specified in the contract and providing timely government comment on or approval of contract deliverables as may be required by the contract.
- e. Issue written performance direction within the limitations set forth in this appointment and in accordance with the Performance Direction clause of the contract. A copy of all performance direction sent to the contractor shall be provided to the SSO-CO. Any disagreement in the performance direction shall be brought to the SSO-CO for resolution.
- f. Assist the contractor in interpreting the requirements of the contract. You are to immediately report to the SSO-CO, in writing, all issues that cannot be resolved without increasing costs or changing the contract, and any issue that cannot be mutually agreed to so that the SSO-CO can take action to resolve. Such reports must include the facts pertinent to the issue and the recommended action.
- g. Review, inspect, and accept or decline all authorized deliverables within the scope of your appointment. You are to immediately report to the SSO-CO any unauthorized deliverables that are outside your scope of appointment so that the SSO-CO can take appropriate action.
- h. Assist the SSO-CO in the development of the annual Performance Evaluation Plan (PEP) by providing timely performance expectations.
- i. Provide timely evaluation input to the SSO-CO for assessing contractor performance in the development of the Performance Evaluation Report (PER) in every phase. This includes obtaining, coordinating, and consolidating feedback and input from all site offices, internal personnel, and other federal organizations, as applicable.
- j. Inform the SSO-CO of any potential or evidence of real or perceived organizational conflicts of interest (OCI) matters or employee ethics or integrity issues.
- k. Provide a written statement to the SSO-CO attesting to the contractor's completion of performance, delivery, and acceptance of all goods and services for which inspection and acceptance are delegated. Provide any required closeout information to the SSO-

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CO and make disposition of all records and documents pertinent to the administration of the contract which you retained in your capacity as COR during the contract performance period.

1. Prepare a written record of meetings, trips, and telephone conversations relating to your COR actions under the subject contract. Each record and all correspondence relating to your appointment for this contract should cite the contract number, date, time, and location, as necessary to be a complete record. It is requested that a copy of records or correspondence that you generate or receive relating to the contract be accessible to or furnished to the SSO-CO, upon request, and other interested parties having a need to know. The utmost care must be given to restrictions regarding proprietary data and classified and business-sensitive information.

In performing these responsibilities, you are not authorized to re-delegate any COR authority and responsibility to others or negotiate terms or make any agreements or commitments with the contractor that involve a change in the scope, price/cost, terms, or conditions of the contract. Only the SSO-CO is authorized to modify any term or condition of the contract, waive any requirement of the contract, or approve costs incurred or make determinations of cost allowability.

This COR appointment may be revoked at anytime for failure to perform within the appointment limitations and terms and conditions detailed in paragraphs 1 and 2 above.

This appointment and its authority shall become effective upon your acceptance and shall remain in effect as long as you are assigned to the contract, or this delegation is rescinded in writing, or the contract is completed. You are to immediately notify the SSO-CO, in writing, of any reassignment from this contract or termination of employment from the Department.

Please acknowledge acceptance of the COR appointment and return one copy to JoAnn Wright, SSO Contract Administration and Business Management Office.

ACCEPTANCE OF APPOINTMENT

I hereby accept the responsibility to perform the functions delegated herein to the best of my ability. I understand and will abide by the principles of ethical conduct for Government officers and employees.

Typed or Printed Name of Appointed COR: MARTIN J. SCHOENBAUER

Signature of COR: Martin J. Schoenbauer Date: 6/30/03

cc:

G. Zura, SNL/MS-0180

NNSA Service Center/Office of Business Services/MSS