

**AFFIRMATION OF BOARD VOTING RECORD**

**SUBJECT: Recommendation 2011-1 Status Letter**

**Doc Control#2017-200-004**

The Board, with Board Member(s) Bruce Hamilton *approving*, Board Member(s) Joyce L. Connery, Jessie H. Roberson, Sean Sullivan, Daniel J. Santos *disapproving*, Board Member(s) none *abstaining*, and Board Member(s) none *not participating*, have voted to disapprove the above document on January 17, 2017.

The votes were recorded as:

	APRVD	DISAPRVD	ABSTAIN	NOT PARTICIPATING*	COMMENT	DATE
Joyce L. Connery	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	01/13/17
Jessie H. Roberson	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	01/17/17
Sean Sullivan	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	01/17/17
Daniel J. Santos	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	01/13/17
Bruce Hamilton	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	01/13/17

\*Reason for Not Participating:

This Record contains a summary of voting on this matter together with the individual vote sheets, views and comments of the Board Members.

  
 Assistant Executive Secretary to the Board

Attachments:

1. Voting Summary
2. Board Member Vote Sheets

cc: Board Members  
 OGC  
 OGM Records Officer  
 OTD

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD  
NOTATIONAL VOTE RESPONSE SHEET**

**FROM:** Joyce L. Connery

**SUBJECT:** Recommendation 2011-1 Status Letter

**Doc Control#2017-200-004**

**Approved** \_\_\_\_\_

**Disapproved**  \_\_\_\_\_

**Abstain** \_\_\_\_\_

**Recusal – Not Participating** \_\_\_\_\_

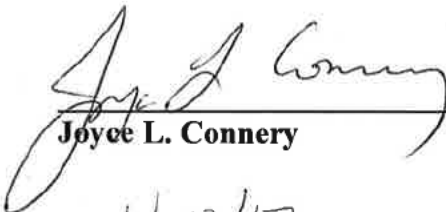
**COMMENTS:**

**Below**  \_\_\_\_\_

**Attached** \_\_\_\_\_

**None** \_\_\_\_\_

*I would like to wait to send a status letter on this issue pending further review of the staff. At that point, it may be appropriate to close this recommendation should the staff's findings support it.*

  
\_\_\_\_\_  
**Joyce L. Connery**

*1/13/17*  
\_\_\_\_\_  
**Date**

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD**  
**NOTATIONAL VOTE RESPONSE SHEET**

**FROM:** Jessie H. Roberson

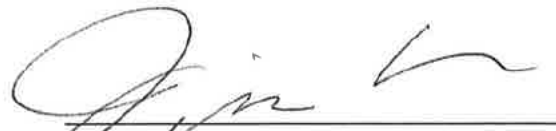
**SUBJECT:** Recommendation 2011-1 Status letter  
Doc Control#2017-200-004

**Approved**\_\_\_\_\_ **Disapproved** X **Abstain**\_\_\_\_\_

**Recusal – Not Participating**\_\_\_\_\_

**COMMENTS:** Below X Attached\_\_\_\_\_ None\_\_\_\_\_

I think it is noteworthy that DOE has essentially completed all actions it identified in its Implementation Plan in response to Recommendation 2011-1. However, I do not conclude that that provides assurance that the issues raised by the Board in Recommendation 2011-1 have been addressed, in fact, recent reviews by other independent bodies have raised concerns in line with those raised by the Board in its Recommendation. I would like to have the opportunity to evaluate the staff's insights from their planned 2017 review.

  
\_\_\_\_\_  
Jessie H. Roberson

01/17/17  
\_\_\_\_\_  
Date

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD**  
**NOTATIONAL VOTE RESPONSE SHEET**

**FROM:** Sean Sullivan

**SUBJECT:** Recommendation 2011-1 Status Letter

**Doc Control#**2017-200-004

**Approved** \_\_\_\_\_ **Disapproved** **X** **Abstain** \_\_\_\_\_

**Recusal – Not Participating** \_\_\_\_\_

**COMMENTS:** **Below** **X** **Attached** \_\_\_\_\_ **None** \_\_\_\_\_

The proposed letter states that our agency intends to monitor progress made on safety culture improvement at the Waste Treatment and Immobilization Plant (WTP) and to conduct further staff reviews on the matter, notwithstanding DOE's completion of the Recommendation 2011-1 Implementation Plan. The letter thus implies that the Board may seek further Secretarial action on a Recommendation for which the statutory process has run its course. Such action, if the Board were to take it, is inconsistent with the Atomic Energy Act framework for Recommendations and Implementation Plans.

The Recommendation should be closed. I am not aware of any current significant issues with safety culture at the WTP. Should the Board determine through ongoing oversight that current safety culture conditions at the Waste Treatment and Immobilization Plant do threaten the adequate protection of the public health and safety, the appropriate Board course of action would be to issue a new Recommendation.



Sean Sullivan

1/17/17

Date

**Shelby Qualls**

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**From:** Daniel J. Santos  
**Sent:** Friday, January 13, 2017 2:41 PM  
**To:** Cameron Shelton; Shelby Qualls  
**Subject:** RE: Notational Vote Doc#2017-200-004, Recommendation 2011-1 Status Letter - BLUE FOLDER

Disapproved without comments.

**From:** Cameron Shelton  
**Sent:** Friday, January 13, 2017 2:34 PM  
**To:** Bruce Hamilton <[REDACTED]>; Daniel J. Santos <[REDACTED]>; Jessie Roberson <[REDACTED]>; Joyce Connery <[REDACTED]>; Sean Sullivan <[REDACTED]>  
**Cc:** James Biggins <[REDACTED]>; Katherine Herrera <[REDACTED]>; ExSec <[REDACTED]>  
**Subject:** Notational Vote Doc#2017-200-004, Recommendation 2011-1 Status Letter - BLUE FOLDER

This email is an electronic record of Notational Vote. Voting ballot will follow shortly. Also, accepting electronic votes.

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD  
NOTATIONAL VOTE RESPONSE SHEET**

**FROM:** Members of the Board  
**SUBJECT:** Recommendation 2011-1 Status Letter

**DOC#2017-200-004**

**Approved**\_\_\_\_\_  
**Disapproved**\_\_\_\_\_  
**Abstain**\_\_\_\_\_  
**Recusal – Not Participating**\_\_\_\_\_

**COMMENTS:**

**Below**\_\_\_\_\_  
**Attached**\_\_\_\_\_  
**None**\_\_\_\_\_

Cameron Shelton  
Assistant Executive Secretary  
Office of the Chairman

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD**  
**NOTATIONAL VOTE RESPONSE SHEET**

**FROM: Bruce Hamilton**

**SUBJECT: Recommendation 2011-1 Status Letter**

**Doc Control#2017-200-004**

**Approved**   X                        **Disapproved**                             **Abstain**       

**Recusal – Not Participating**       

**COMMENTS:**      **Below**   X        **Attached**             **None**       

Recommendation 2011-1 should be closed. The Secretary has completed all of the Implementation Plan activities with the exception of WTP contract modifications, with no further progress towards this end in the past year and a half. After over five years, this Recommendation and its Implementation Plan are no longer effective at facilitating improvements, and there is no sound reason to continue devoting resources to tracking them.

Were the Recommendation closed, the Board would still be able to monitor the safety culture at the Waste Treatment and Immobilization Plant and issue a new Recommendation should the safety culture threaten the adequate protection of the public health and safety.

That said, this letter appropriately informs the Secretary of the Board's evaluation plans for FY2017.

I therefore approve.

  
**Bruce Hamilton**

13 JAN 2017

**Date**